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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Kwang-Jin Yang

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05/17/2005

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EXAMINER

CURS, NATHAN M

ART UNIT

PAPER NUMBER

2633

DATE MAILED: 05/17/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s) <b>UK</b>	
	09/621,009	YANG ET AL.	
	Examiner	Art Unit	
	Nathan Curs	2633	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 26 November 2004.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-8, 12, 13, 15-17, 19-32, 34-36 and 41-43 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8, 12, 13, 15-17, 19-32, 34-36 and 41-43 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 July 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

2. Claims 17, 19-25, 27 and 42 are rejected under 35 U.S.C. 102(a) as being anticipated by Mokhtari et al. ("Bit-rate transparent electronic data regeneration in repeaters for high speed lightwave communication systems", Circuits and Systems, 1999. ISCAS '99. Proceedings of the 1999 IEEE International Symposium on, Volume 2, 30 May-2 June 1999, pages 508-511 vol. 2).

Regarding claim 17, Mokhtari et al. disclose a method of operating a receiver which functions independently of a bit rate of a received signal, comprising: receiving an original signal (fig. 2, Data IN signal); generating a resultant signal by performing an exclusive-OR operation on a first signal and a second signal, said first signal comprising said original signal delayed by a predetermined quantity of time, said second signal comprising said original signal not delayed (fig. 5 and page 509, col. 2, paragraph 1); determining a bit rate of said original signal by low-pass filtering said resultant signal, and determining a voltage level of the low-pass filtered resultant signal (fig. 6 and page 509, col. 2, paragraph 3); generating a reference clock signal separate from said original signal and in dependence upon said determined bit rate (fig. 3, element Clock Out and fig. 6, element VCO1-VCO3); and recovering an input clock signal and data from said original signal in dependence upon said reference clock signal (figs. 3 and 4, element Decision Circuit).

Regarding claim 19, Mokhtari et al. disclose receiving an original signal comprising an input optical signal (page 508, col. 1, paragraphs 3 and 4; and col. 2, paragraph 3), where this system includes a converter to convert an input optical signal to an original electrical signal (page 508, col. 2, paragraph 4); outputting two duplicate signals substantially equivalent to the electrical signal, the two duplicate signals comprising a primary signal and a secondary signal (fig. 5); and delaying the primary signal by the predetermined quantity of time (fig. 5 and page 509, col. 2, paragraph 1), and outputting a delayed primary signal comprising the first signal (fig. 5).

Regarding claim 20, Mokhtari et al. disclose a 3R regenerator with optoelectric conversion at the signal input (page 508, col. 2, paragraph 4), and disclose the first, and second signals (fig. 5), where these signals are inherently electrical for a 3R regenerator with optoelectric conversion.

Regarding claim 21 and 24, Mokhtari et al. disclose a method comprising receiving optical signals having a plurality of different bit rates (page 508, col. 1, paragraphs 3 and 4, and col. 2, paragraph 3).

Regarding claim 22, Mokhtari et al. disclose an original signal received comprising a plurality of original signals received (page 508, col. 1, paragraphs 3, 4, and 6), the recovering of the input clock signal and data from the original signal being performed for the plurality of original signals received (fig. 3 and page 509, col. 1, paragraphs 3 and 4), the plurality of original signals received having a respective plurality of different bit rates (page 508, col. 1, paragraphs 3, 4, and 6).

Regarding claim 23, Mokhtari et al. disclose recovering of the input clock signal and data from the original signal performed for a plurality of original signals received (fig. 2, fig. 3, page

509, col. 1, paragraphs 3, 4, and 5), the plurality of original signals received having a respective plurality of different bit rates (page 508, col. 1, paragraphs 3, 4 and 6).

Regarding claim 25, Mokhtari et al. disclose receiving an input optical signal (fig. 2, Data IN signal); converting said input optical signal to an original electrical signal (page 508, col. 2, paragraph 4); outputting two duplicate signals substantially equivalent to said original electrical signal, said two duplicate signals comprising a primary signal and a secondary signal (fig. 3, split signals from "data in"); and delaying said primary signal by said predetermined quantity of time, and outputting said primary signal, said delayed primary signal comprising said first signal, said outputted primary signal comprising said second signal (fig. 5, as illustrative of the edge detector of fig. 3).

Regarding claim 27, Mokhtari et al. disclose that the clock generator and clock generation method comprise a plurality of oscillators generating clocking signals of different frequencies and the oscillators being selectively operated to generate the reference clock signal in dependence upon the bit rate detected by the identification unit (fig. 6 and col. 2, paragraph 4).

Regarding claim 42, Mokhtari et al. disclose that said recovery step is performed by a programmable recovery unit (figs. 3 and 6, where the variable-rate recovered clock, input to the decision circuit, makes the decision circuit a programmable recovery unit).

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 28-32, 36 and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mokhtari et al. ("Bit-rate transparent electronic data regeneration in repeaters for high speed lightwave communication systems", Circuits and Systems, 1999. ISCAS '99. Proceedings of the 1999 IEEE International Symposium on, Volume 2, 30 May-2 June 1999, pages 508-511 vol. 2).

Regarding claim 28, Mokhtari et al. disclose a 3R regenerator receiving an input optical signal (page 508, col. 2, paragraph 3), where this system includes a converter to convert an input optical signal to an original electrical signal (page 508, col. 2, paragraph 4); an identification unit for receiving an electrical signal (fig. 3, elements Edge Detector and PLL), where the edge detector and PLL comprise an identification unit, for generating a first signal comprising an electrical signal delayed by a predetermined quantity of time and for generating a second signal comprising an electrical signal not delayed (fig. 5), for forming a third signal by performing an exclusive-OR logic operation upon the first and second signals (fig. 5), and for detecting a bit rate in dependence upon the third signal and a clock generator for generating a reference clock signal in dependence upon the detected bit rate (fig. 3, fig. 6 and page 509, col. 2, paragraphs 3 and 4); and a recovery unit for recovering an input clock signal and data from the input optical signal in dependence upon the reference clock signal (fig. 3 and page 509, col. 1, paragraph 3). Mokhtari et al. disclose that the identification unit comprises: a first unit for delaying the original electrical signal and for performing the exclusive-OR operation upon the first and second signals and for forming the third signal (fig. 5); and a second unit for filtering the third signal, and for detecting the bit rate in dependence upon a voltage level of the filtered third signal (fig. 6 and page 509, col. 2, paragraph 4). Mokhtari et al. disclose the reference clock being branched to the decision circuit and to a clock output. However, it would have been obvious to one of ordinary skill in the art at the time of the invention to output the recovered

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clock from the decision circuit, along with the recovered data, which modification at most would require simple, well known, inverter logic and another output from the decision circuit, in order to eliminate the need to branch the reference clock to the decision circuit and it's own separate output. Mokhtari et al. disclose a Reshaping/Limiting stage between the O/E converter and the identification unit, thus the electrical signal output from the O/E converter is the original signal, which passes through the disclosed Reshaping/Limiting stage before entering the identification unit. However, it would have been obvious to one of ordinary skill in the art at the time of the invention that, given the reshaping function inherent to the decision circuit of the Retiming block of Mokhtari et al., the separate Reshaping/Limiting block as disclosed could be removed as being redundant depending on the degree of distortion of the incoming signal, since the Retiming block both reshapes and retimes. In the case where the Retiming block performs all reshaping and retiming functions, the original output signal from the O/E converter would go directing to the Retiming block.

Regarding claims 29 and 36, Mokhtari et al. disclose that the clock generator and clock generation method comprise a plurality of oscillators generating clocking signals of different frequencies and the oscillators being selectively operated to generate the reference clock signal in dependence upon the bit rate detected by the identification unit (fig. 6 and col. 2, paragraph 4).

Regarding claim 30, Mokhtari et al. disclose an original signal received comprising a plurality of original signals received (page 508, col. 1, paragraphs 3, 4, and 6), the recovering of the input clock signal and data from the original signal being performed for the plurality of original signals received (fig. 3 and page 509, col. 1, paragraphs 3 and 4), the plurality of original signals received having a respective plurality of different bit rates (page 508, col. 1, paragraphs 3, 4, and 6).

Regarding claim 31, Mokhtari et al. disclose an apparatus, comprising: a fiber optic system including electrical 3R regeneration (page 508, col. 1, paragraph 3 and 4, and col. 2, paragraph 3); where this system includes an optoelectric converter to convert an input optical signal to an original electrical signal (page 508, col. 2, paragraph 4).

Regarding claim 32, Mokhtari et al. disclose an identification unit comprising a bit rate identification unit (fig. 3 and 5, and page 509, col. 2, paragraph 1).

Regarding claim 43, Mokhtari et al. disclose that the recovery unit comprises a programmable recovery unit (figs. 3 and 6, where the variable-rate recovered clock, input to the decision circuit, makes the decision circuit a programmable recovery unit).

5. Claims 1-8, 12-13, 16 and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mokhtari et al. ("Bit-rate transparent electronic data regeneration in repeaters for high speed lightwave communication systems", Circuits and Systems, 1999. ISCAS '99. Proceedings of the 1999 IEEE International Symposium on, Volume 2, 30 May-2 June 1999, pages 508-511 vol. 2) in view of Ishihara (US Patent No. 5557648).

Regarding claim 1, Mokhtari et al. disclose an apparatus, comprising: a fiber optic system including an electrical 3R function (page 508, col. 2, paragraph 3 and 4, and col. 2, paragraph 3); where this system includes a converter for converting an input optical signal to an original electrical signal (page 508, col. 2, paragraph 4); an identification unit for receiving an electrical signal (fig. 3, elements Edge Detector and PLL), where the edge detector and PLL comprise an identification unit, for generating a first signal comprising an electrical signal delayed by a predetermined quantity of time and for generating a second signal comprising an electrical signal not delayed (fig. 5), for comparing the first and second signals and for forming a third signal in dependence upon the comparing of the first and second signals (fig. 5), and for



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detecting a bit rate in dependence upon the third signal (page 508, col. 1, paragraph 4 and page 509, col. 2, paragraphs 3 and 4); a clock generator for generating a separate reference clock signal in dependence upon the detected bit rate (fig. 6, element VCO Bank) and a recovery unit for recovering data from the input optical signal in dependence upon the reference clock signal (fig. 3, element Decision Circuit and page 509, col. 1, paragraph 3); wherein said identification unit further comprises: a first unit for delaying said electrical signal, for performing an exclusive-OR operation upon said first and second signals, and for forming said third signal in dependence upon said exclusive-OR operation performed upon said first and second signals (fig. 5). Mokhtari et al. disclose a second unit comprising: a low-pass filter for filtering the third signal, and bit rate deriving unit for deriving the bit rate in dependence upon information related to a voltage level and a predetermined bit rate (fig. 6; and page 509, col. 2, paragraph 4).

Mokhtari et al. disclose the reference clock being branched to the decision circuit and to a clock output. However, it would have been obvious to one of ordinary skill in the art at the time of the invention to output the recovered clock from the decision circuit, along with the recovered data, which modification at most would require simple, well known, inverter logic and another output from the decision circuit, in order to eliminate the need to branch the reference clock to the decision circuit and it's own separate output. Mokhtari et al. disclose a Reshaping/Limiting stage between the O/E converter and the identification unit, thus the electrical signal output from the O/E converter is the original signal, which passes through the disclosed Reshaping/Limiting stage before entering the identification unit. However, it would have been obvious to one of ordinary skill in the art at the time of the invention that, given the reshaping function inherent to the decision circuit of the Retiming block of Mokhtari et al., the separate Reshaping/Limiting block as disclosed could be removed as being redundant depending on the degree of distortion of the incoming signal, since the Retiming block both reshapes and retimes. In the case where

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the Retiming block performs all reshaping and retiming functions, the original output signal from the O/E converter would go directly to the Retiming block. Mokhtari et al. also disclose that the low-pass filter and bit rate deriving circuits that follow the compare circuit (fig. 3) are part of a phase-locked loop, but do not disclose an analog-to-digital converter. Ishihara discloses a phase lock loop including a bit rate deriving circuit that has an analog-to-digital converter receiving a filtered signal and converting the filtered signal from an analog signal to a digital signal (fig. 23 and col. 15, lines 38-59). It would have been obvious to an artisan at the time of the invention to include the analog-to-digital converter, as taught by Ishihara, after the filter in the phase locked loop of Mokhtari et al., to digitize the filter output, providing the advantage of quantizing the voltage level to specific value by converting to digital.

Regarding claim 2, Mokhtari et al. disclose an apparatus comprising an optical receiver for receiving optical signals having a plurality of different bit rates (page 508, col. 1, paragraphs 3 and 4).

Regarding claim 3, Mokhtari et al. disclose that the bit rate of the input optical signal comprises a transmission rate (page 508, paragraphs 1, 3 and 4).

Regarding claim 4, Mokhtari et al. disclose an amplifier for amplifying the original electrical signal received from the converter (page 508, col. 2, paragraph 4 to page 509, col. 1, paragraph 1).

Regarding claim 5, Mokhtari et al. disclose that the amplifier outputs the amplified electrical signal to the identification unit (page 508, col. 2, paragraph 4 to page 509, col. 1, paragraph 1; and fig. 1, fig. 3 and fig. 5).

Regarding claim 6, Mokhtari et al. disclose an apparatus, comprising: a fiber optic system including electrical 3R regeneration (page 508, col. 1, paragraph 3 and 4, and col. 2,

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paragraph 3); where this system includes an optoelectric converter to convert an input optical signal to an original electrical signal (page 508, col. 2, paragraph 4).

Regarding claim 7, Mokhtari et al. disclose an identification unit comprising a bit rate identification unit (fig. 3 and 5, and page 509, col. 2, paragraph 1).

Regarding claim 8, Mokhtari et al. disclose that the comparing performed by the identification unit comprises the identification unit performing an exclusive-OR logic operation upon the first and second signals (fig. 5).

Regarding claim 12, Mokhtari et al. disclose a unit comprising a bit rate identification signal generator (fig. 3 and fig. 6 and page 509, col. 2, paragraph 4), where the filter output inherently corresponds to a bit rate identification signal.

Regarding claim 13, Mokhtari et al. disclose a unit comprising a bit rate deriving unit (fig. 6 and page 509, col. 2, paragraph 4), where the unit including the bank of oscillators inherently derives a bit rate by tuning the VCO to the bit rate, based on the output signal from the filter.

Regarding claim 16, Mokhtari et al. disclose that the clock generator and clock generation method comprise a plurality of oscillators for generating clocking signals of different frequencies, said oscillators being selectively operated to generate the reference clock signal in dependence upon the bit rate detected by the identification unit (fig. 6 and col. 2, paragraph 4).

Regarding claim 41, Mokhtari et al. disclose that the recovery unit comprises a programmable recovery unit (figs. 3 and 6, where the variable-rate recovered clock, input to the decision circuit, makes the decision circuit a programmable recovery unit).

6. Claims 15, 26 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mokhtari et al. in view of in view of Ishihara, as applied to claims 1-8, 12-13, 16 and 41 above, and further in view of Uda et al. (European Patent Office Publication No. 0342010).

Regarding claims 15 and 35, Mokhtari et al. disclose two duplicate signals substantially equivalent to the original electrical signal, the two duplicate signals comprising a primary signal and a secondary signal (fig. 5); a delay unit for receiving the primary signal, for delaying the primary signal by the predetermined quantity of time, and for outputting the primary signal, the delayed primary signal comprising the first signal and the secondary signal comprising the second signal (fig. 5); and an operator unit for performing the exclusive-OR logic operation upon the first and second signals (fig. 5 and page 509, col. 2, paragraph 1). Mokhtari et al. do not disclose a buffer unit for receiving the original electrical signal and for outputting two signals. Uda et al. disclose a digital signal regenerator, including a primary signal and a secondary signal, delaying the primary signal, and an exclusive-OR logic operation upon the first and second signals (page 3, lines 17-21). Uda et al. also disclose an input buffer amplifier amplifying the original electrical signal and outputting two duplicate signals (page 3, line 17). It would have been obvious to an artisan at the time of the invention to include a buffer unit, as taught by Uda et al., prior to splitting the signals for the compare circuit, in order to raise the two split signals to the optimal levels for sending the signals to the compare circuit.

Regarding claim 26, Mokhtari et al. disclose a method, as described above, comprising: receiving an optical signal original signal using an optoelectric converter, converting the optic signal to an electrical signal, forming two duplicate signals and delaying one of the signals by a predetermined quantity of time. Mokhtari et al. do not disclose that the two duplicate signals are output from a buffer. Uda et al. also disclose input buffer amplifier amplifying the original electrical signal and outputting two duplicate signals (page 3, line 17). It would have been obvious to an artisan at the time of the invention to include a buffer unit, as taught by Uda et al., prior to splitting the signals for the compare circuit, in order to raise the two split signals to the optimal levels for sending the signals to the compare circuit.

7. Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over Mokhtari et al. ("Bit-rate transparent electronic data regeneration in repeaters for high speed lightwave communication systems", Circuits and Systems, 1999. ISCAS '99. Proceedings of the 1999 IEEE International Symposium on, Volume 2, 30 May-2 June 1999, pages 508-511 vol. 2) in view of Ishihara (US Patent No. 5557648).

Regarding claim 34, Mokhtari et al. disclose a second unit comprising: a low-pass filter for filtering the signal output from the compare circuit, and determiner determining the bit rate in dependence upon the signal received from the filter (fig. 6; and page 509, col. 2, paragraph 4). Mokhtari et al. also disclose that the low-pass filter and determining circuits that follow the compare circuit (fig. 3) are part of a phase-locked loop, but do not disclose an analog-to-digital converter. Ishihara discloses a phase lock loop including a determining circuit that has an analog-to-digital converter receiving a filtered signal and converting the filtered signal from an analog signal to a digital signal (fig. 23 and col. 15, lines 38-59). It would have been obvious to an artisan at the time of the invention to include the analog-to-digital converter, as taught by Ishihara, after the filter in the phase locked loop of Mokhtari et al., to digitize the filter output, providing the advantage of quantizing the voltage level to specific value by converting to digital.

### ***Response to Arguments***

8. Applicant's arguments, filed 26 November 2004, with respect to the rejection of claim 25 under 35 USC 112 second paragraph have been fully considered and are persuasive. Therefore the rejection has been withdrawn.

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9. Applicant's arguments referred to below, filed 26 November 2004, have been fully considered but they are not persuasive.

Regarding the applicant's argument on page 16, lines 14-20 of the 26 November 2004 amendment, the applicant argues that the "original" electrical signal corresponds to the signal entering the identification unit from the O/E converter and the amplifier. However, since the signal that is delayed according to the applicant's figure 3 (no delay shown in applicant's figure 2), is shown to go through a buffer before going through the delay, it is assumed based on the applicant's arguments the term "original electrical signal" applies equally to either the signal entering the buffer or the signal exiting the buffer if the applicant argues that the identification unit delays the "original electrical signal" provided by the O/E converter and amplifier. Further, in claim 1, the phrase "a converter for converting an input optical signal to an original electrical signal" defines the "original electrical signal" as the signal output from the O/E converter directly. Therefore, either the applicant's argument that the "original electrical signal" is provided by the O/E converter and amplifier contradicts the definition of "original electrical signal" in claim 1 which does not involve an amplifier, or the term "original electrical signal" can be applied to the output from the O/E converter, the output from the O/E converter then the amplifier, or the output from the O/E converter then the amplifier then the buffer. If the latter condition is true, the term "original electrical signal" is applicable to the signal between the O/E converter and the delay, regardless of other in-line signal components, and therefore the in-line signal components between the O/E converter and the delay in Mokhtari et al. do not exclude the signal passing through them from reading on the limitation "original electrical signal".

Regarding the applicant's argument on page 17, lines 14-18 of the 26 November 2004 amendment, the applicant argues that the term "retime" or "retiming" as used by Mokhtari et al. has a different meaning from the meaning of "retiming" as disclosed in the present application.

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However this argument is not convincing because the applicant doesn't provide any further supporting statements to explain how the meaning of "retiming" differs in Mokhtari et al. from the meaning of "retiming" disclosed by the applicant.

Regarding the applicant's argument on page 17, line 20 to page 18, line 6 of the 26 November 2004 amendment, the applicant argues that in contrast to the applicant's invention, in Mokhtari et al. the clock signal is extracted from the input signal directly and corresponds to the "protocol free system" mentioned as prior art by the applicant in the specification, page 9, line 16 to page 10, line 4. However, this section of the specification defines the prior art as having reshaping and regeneration without recovering a clock signal. Therefore the applicant's argument is not persuasive because a clock signal extracted from the input signal directly does not correspond to the "protocol free system" mentioned as prior art. Further, Mokhtari et al. disclose reshaping, retiming and regeneration including clock signal recovery, where the clock signal is extracted after a copy of the input signal passes through the edge detector and PLL as shown in Mokhtari et al. figure 3; therefore, the applicant's argument is incorrect that in Mokhtari et al. the clock signal is extracted from the input signal directly.

Regarding the applicant's argument on page 18, line 8 to page 19, line 14, the applicant argues a PLL, such as that disclosed in Figure 3 of Mokhtari et al., can contain a low-pass filter, but that such a filter is used to low-pass filter a signal indicative of a phase difference between an input signal and feedback signal. This argument seems to be an assumption about the PLL of figure 3 of Mokhtari et al., but is not supported by the Mokhtari et al. disclosure. Mokhtari et al. discloses a PLL structure in fig. 6a applicable to the PLL of figure 3, where the output of a low pass filter is used as a selection signal for selecting a clock signal output from among the different VCOs in the VCO bank, to induce a wide-band PLL solution, as opposed to a narrow-band PLL solution that limits the dynamics of clock recovery. In addition, considering Mokhtari

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et al. figure 3 and the further details of the PLL structure disclosed in figure 6a and the details of the edge detector disclosed in figure 5, the Mokhtari et al. reference reads on low-pass filtering the "third signal" of claims 1 and 28 and the "resultant signal" of claim 17 (read on by the exclusive OR output signal of the Mokhtari et al. edge detector going into the PLL).

10. The applicant's argument on page 16, line 22 to page 17 line 12 of the 26 November 2004, has been fully considered and is persuasive regarding claim 28, but not persuasive regarding claim 1, because the 35 USC 103 rejection regarding the recovery unit outputting both the clock and data signals was applied to claim 1, but mistakenly not applied to claim 28, in the previous office action. The rejection regarding the recovery unit outputting both the clock and data signals is now applied to claim 28 as described above. The applicant argues that Mokhtari et al. do not disclose the recovery unit outputting a recovered clock signal in addition to a recovered data signal. This argument was not persuasive regarding claim 1 because the rejection regarding the recovery unit outputting both the clock and data signals is an obviousness rejection, as described above, under 35 USC 103, not a rejection under 35 USC 102.

### ***Conclusion***

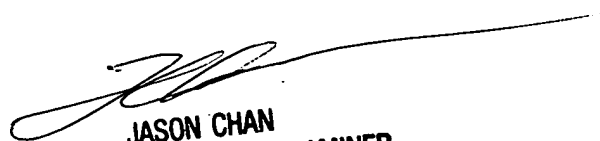
11. Any inquiry concerning this communication from the examiner should be directed to N. Curs whose telephone number is (571) 272-3028. The examiner can normally be reached on M-F (from 9 AM to 5 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Chan, can be reached at (571) 272-3022. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306. Any inquiry of



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a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (800) 786-9199.



**JASON CHAN**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2800**